



# FIDUCIARY ALIGNMENT AGREEMENT

Every investor deserves advice delivered with loyalty, transparency, and care.

This document affirms the professional standard a financial advisor commits to when serving in a fiduciary capacity.

## **As your financial professional, I commit that:**

### **Fiduciary Obligation:**

I am, and will continue to be, legally and ethically bound by the fiduciary standard in all financial, planning, investment, and advisory activities conducted with or on your behalf – without exception or limitation.

### **Duty of Loyalty and Care:**

I will act solely in your best interest, exercising the care, skill, prudence, and diligence required of a fiduciary professional under federal law.

### **Full and Fair Disclosure:**

I will provide clear and complete disclosure of all material facts, including every source of compensation, all fees and expenses, and any payments or incentives received from third parties.

### **Conflict Management:**

I will avoid conflicts of interest wherever possible, and when avoidance is not possible, I will disclose them fully and manage them only in your favor.

### **Ongoing Duty:**

This fiduciary duty applies continuously – to every recommendation, decision, and action made on your behalf – not just at the time of a transaction.

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**ADVISOR NAME**

**FIRM**

**SIGNATURE**

**DATE**